

Chapter 4

Structure Theory

The goal of this last chapter is going to be to decompose connected Lie groups into "simpler" building blocks. The key notions we are going to focus on are those of solvable, nilpotent, and semisimple Lie groups. We will work both at the level of Lie algebras and at the level of Lie groups.

4.1 Solvable Lie groups and Lie algebras

Definition 4.1

A group G is solvable if there exists a sequence of subgroups

$$G = G_0 \triangleright G_1 \triangleright \dots \triangleright G_r = \{e\}$$

with G_i / G_{i+1} abelian $\forall 0 \leq i < r-1$.

In studying solvable groups the derived series of a group G plays an important

note.

Let $G^{(1)}$ be the subgroup of G generated by the set $\{[x, y] : x, y \in G\}$ of commutators.

Definition 4.2

The derived series of a group G is defined inductively by $G^{(i)} := [G^{(i-1)}, G^{(i-1)}]$

We have the following

↳ group generated by commutators.

Lemma 4.3

1) Let $\pi: G \rightarrow H$ be a homomorphism. Then $\pi(G^{(i)}) = (\pi(G))^{(i)}$, $i \geq 1$.

2) Let $N \triangleleft G$. Then G/N is abelian iff $N \supset G^{(1)}$.

Proof

1) is clear since $\pi([x, y]) = [\pi(x), \pi(y)]$

2) Let $\pi: G \rightarrow G/N$ be the canonical

projection. Then, from 1) we get:

$$[G/N, G/N] = [\pi(G), \pi(G)] = \pi([G, G])$$

hence G/N is abelian iff

$$[G, G] \subset \ker \pi = N. \quad \square$$

Lemma 4.4

G is solvable iff $\exists n \geq 1$ s.t. $G^{(n)} = \{e\}$.

Proof

(\Leftarrow) It is clear that $G^{(i)} \triangleleft G^{(i-1)}$
and by Lemma 4.3 2) $G^{(i-1)} / G^{(i)}$
is abelian. This proves that if the
derived series terminates in finite
steps then the group is solvable.

(\Rightarrow) Let $G = G_0 \triangleright G_1 \triangleright \dots \triangleright G_r = \{e\}$
be as in the definition of solvability.

Claim: $G_i \supset G^{(i)}$.

We prove the claim by recurrence.

G/G_1 is abelian, hence $G_1 \supset G^{(1)}$.

If $G_{i-1} \supset G^{(i-1)}$, then since

G_{i-1}/G_i is abelian, we have

$$G_i \supset G_{i-1}^{(1)} \supset (G^{(i-1)})^{(1)} = G^{(i)}.$$

Lemma 4.3 2) (*) □

Definition 4.5

Let G be a solvable group. The solvability length of G is

$$\text{sol}(G) := \min \{ r \geq 1 : G^{(r)} = \{e\} \}.$$

Lemma 4.6

Let $N \triangleleft G$ and $N \supset G^{(s-1)}$. Then

$$\text{sol}(G/N) \leq s-1.$$

Proof

Let as usual $\pi: G \rightarrow G/N$ denote the canonical projection. Then we have

$$\left(\frac{G}{N}\right)^{(s-1)} = \left(\pi(G)\right)^{(s-1)} = \pi\left(G^{(s-1)}\right) = \{e\}.$$

\swarrow
Lemma 4.3 (1).
 \swarrow
 $N \supset G^{(s-1)}$

□

The discussion above was about abstract groups with no extra structure. We shall see now that for Hausdorff top. groups that one chooses the subgroups in Definition 4.1 can be chosen with additional properties.

Proposition 4.7

Let G be a solvable topological Hausdorff group. Then there exists a sequence

$$G = G_0 \supset G_1 \supset \dots \supset G_r = \{e\}$$

with G_{i-1}/G_i abelian $1 \leq i \leq r-1$ and G_i/G_{i+1} closed. If G_0 is connected, the G_i/G_{i+1} can be taken connected.

Exercise 4.8

Let G be a top. group. Let $N \triangleleft G$ be a closed subgroup. If N and G/N are connected then so is G .

Lemma 4.9

If G is a connected top. group then $G^{(i)}$ is connected $\forall i \geq 1$.

Proof

The set $V := \{[x, y] : x, y \in G\}$ is connected, and so are all the $V^n = V \cdots V$.

But $G^{(1)} = \cup_{n \geq 1} V^n$ and $V^n \ni e \forall n \geq 1$

The conclusion follows for $i=1$.

The argument for general $i \geq 1$ is analogous. \square

Proof of Proposition 4.7

The proof is by induction on $\text{sol}(G) =: r$.

If $r=1$ then $G^{(1)} = \{e\}$ and $\{e\}$ is closed.

Let $r \geq 2$. $G^{(r-1)}$ is abelian and normal in G . Hence, $\overline{G^{(r-1)}}$ also is abelian

and normal in G . Moreover

$\text{sol}(G / \overline{G^{(r-1)}}) \leq r-1$ and $G / \overline{G^{(r-1)}}$ is a Hausdorff top. group.

Now we can consider, $\pi: G \rightarrow G / \overline{G^{(r-1)}}$

and apply the inductive hypothesis to obtain

$$\frac{G}{G^{(r-1)}} = H_0 \triangleright H_1 \triangleright \dots \triangleright H_c = \{e\}$$

with H_i closed and H_{i-1}/H_i abelian.

Then

$$G = \pi^{-1}(H_0) \triangleright \pi^{-1}(H_1) \triangleright \dots \triangleright \pi^{-1}(H_c) = \overline{G^{(r-1)}}$$

does the job (check this!)

If G is in addition connected: then $\overline{G^{(r-1)}}$ is connected. Then one can take the H_i 's connected and use [Exercise 4.8](#) to conclude. \square

Corollary 4.10

Let G be a connected solvable Lie group.

Then there exists a sequence

$$G = G_0 \triangleright G_1 \triangleright \dots \triangleright G_r = \{e\}$$

where G_i is closed connected and

G_{i-1}/G_i is isomorphic to either \mathbb{R} or \mathbb{T} for $1 \leq i \leq r-1$.

Proof

Combine Proposition 4.7 with the classification of connected solvable Lie groups. Sheet 5 Exercise 2. \square

Example 4.11

$$G = \left\{ \begin{pmatrix} \Delta & * & & \\ & \Delta & * & \\ & & \Delta & * \\ 0 & & & \ddots \\ & & & & \Delta \end{pmatrix} : \Delta \in \mathbb{R}^*, * \in \mathbb{R} \right\} \subseteq GL(n, \mathbb{R}).$$

$$G^{(1)} = \left\{ \begin{pmatrix} \Delta & & & \\ & \ddots & * & \\ & & \ddots & \\ 0 & & & \Delta \end{pmatrix} : * \in \mathbb{R} \right\}$$

$$G^{(2)} = \left\{ \begin{pmatrix} \Delta & 0 & * & \\ & \ddots & \ddots & \\ 0 & & \ddots & \\ & & & \Delta \end{pmatrix} : * \in \mathbb{R} \right\}$$

$$G^{(n)} = \{ Id \}.$$

This is the prototype of solvable Lie group.

Indeed we have the first fundamental theorem

of \mathfrak{g} .

Theorem 4.12 [Lie's theorem]

Let G be a connected Lie group that is solvable as a group and $\rho: G \rightarrow GL(V)$ be a representation into a complex vector space V . Then there is a basis of V such that $\rho(g)$ is upper triangular $\forall g \in G$.

Definition 4.13

Let G be a Lie group and $\rho: G \rightarrow GL(V)$ be a complex representation. A weight of G on V is a homomorphism

$$\chi: G \rightarrow \mathbb{C}^*$$

such that $V_\chi := \{v \in V : \rho(g)v = \chi(g)v \quad \forall v \in V, g \in G\}$

If χ is a weight then V_χ is the weight space and any $v \in V_\chi \setminus \{0\}$ is a weight vector.

Remark 4.14

A weight χ is a smooth homomorphism.

Theorem 4.15

Let G be a connected Lie group that is solvable and $\rho: G \rightarrow GL(V)$ be a complex representation. Then G has a weight in V .

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The proof of Theorem 4.15 relies on the following

Lemma 4.16

Let G be a connected Lie group and $\rho: G \rightarrow GL(V)$ be a complex representation. Let $H \triangleleft G$ and $\chi: H \rightarrow \mathbb{C}^*$ be a weight of H in $\rho|_H: H \rightarrow GL(V)$. Then V_χ is $\rho(G)$ -invariant.

Proof

Given $g \in G, h \in H, v \in V_\chi$ we have

$$\rho(h) \rho(g) v = \rho(g) \rho(g^{-1} h g) v = \chi(g^{-1} h g) \rho(g) v.$$

Now $\chi(g^{-1}hg) \in \text{Spec}(p(h)) \subset \mathbb{C}^*$

Thus we get a continuous map

$$\begin{aligned} G &\longrightarrow \text{Spec}(p(h)) \\ g &\longmapsto \chi(g^{-1}hg). \end{aligned}$$

Since G is connected and $\text{Spec}(p(h))$ is finite, the map is constant. Hence,
 $\chi(g^{-1}hg) = \chi(h) \quad \forall g \in G \quad \forall h \in H.$

Therefore we conclude that $p(g) V_\eta \subset V_\chi. \square$

Proof of Theorem 4.15

The proof is by induction on $\dim G$.

If $\dim G = 1$ then $\dim \mathfrak{g} = 1$ so
 $\mathfrak{g} = \mathbb{R}X$ for some $X \in \mathfrak{g}$.

Let $v_0 \neq 0$ in V be an eigenvector of $d_p(X)$. Then $d_p(Y) \mathbb{C}v \subset \mathbb{C}v$
 $\forall Y \in \mathfrak{g}$ and since G is connected.

by Proposition 3.101 we infer that
 $\rho(G) \subset \rho \subset \rho(G) \subset \rho$. Hence
 $\rho(g)v = \chi(g)v$ and χ is a weight.

Let $\dim G \geq 2$. Let $H \triangleleft G$ be closed
 connected normal with $G/H \triangleq \mathbb{T}$ or \mathbb{R} .

By the inductive hypothesis H has a weight
 $\chi: H \rightarrow \mathbb{C}^*$ on V and by Lemma 4.16
 V_χ is $\rho(G)$ -invariant.

From $\rho(h)v = \chi(h)v$, $v \in V_\chi$, $h \in H$
 we deduce:

$$D_{\rho}(X)v = (D_{\rho}\chi)(X)v \quad \forall X \in \mathfrak{h}.$$

We can write $\mathfrak{g} = \mathfrak{R}\mathfrak{Y} \oplus \mathfrak{h}$ for some
 $Y \in \mathfrak{g}$ and let $v_0 \in V_\chi$ (if $\neq \emptyset$) be
 an eigenvector of $d_{\rho}(Y)$.

It follows that

$$d_{\rho}(Z) \subset V_0 \subset \mathbb{C}v_0 \quad \forall Z \in \mathfrak{g}.$$

By connectedness of G we get.

$$\rho(g) \in \mathbb{C} \lambda_0 = \mathbb{C} \lambda_0 \quad \forall g \in G$$

and hence G has a weight in V . \square

Use Prop 3.121 again.

Proof of Theorem 4.12

The proof will be by induction on $\dim V$.

Let $\chi: G \rightarrow \mathbb{C}^*$ be a weight of ρ obtained by Theorem 4.15 and let V_χ be the corresponding weight space. Then

$\dim(V/V_\chi) < \dim V$ and we can obtain a representation of G in V/V_χ by setting

$$\bar{\rho}(g)(v + V_\chi) := \rho(g)v + V_\chi.$$

Let $f := \dim V$ and e_1, \dots, e_f be a basis of V_χ and $e_{f+1}, \dots, e_n \in V$ be such that $\bar{e}_c := e_c + V_\chi$ $f+1 \leq c \leq n$.

form a basis of V/V_χ with respect to which $\bar{\rho}(g)$ is upper triangular $\forall g \in G$.

Definition 4.17

A Lie algebra \mathfrak{g} is solvable if there exists a sequence

$$\mathfrak{g} = \mathfrak{g}_0 \supset \mathfrak{g}_1 \supset \dots \supset \mathfrak{g}_n = \{0\}$$

where \mathfrak{g}_i is an ideal in \mathfrak{g}_{i-1} and

$\mathfrak{g}_{i-1}/\mathfrak{g}_i$ is abelian.

Example 4.18

A prototypical example of solvable Lie algebra is

$$\mathfrak{g} = \left\{ \begin{pmatrix} * & & & \\ & \ddots & & \\ & & * & \\ 0 & & & \ddots \\ & & & & * \end{pmatrix} : * \in \mathbb{R} \right\}.$$

As in the case of groups we define

$$\mathfrak{g}^{(1)} = [\mathfrak{g}, \mathfrak{g}] = \text{linear span of } \{[x, y] : x, y \in \mathfrak{g}\}.$$

Definition 4.19

The derived series of a Lie algebra \mathfrak{g} is defined inductively by

$$g^{(e)} := (g^{(e-1)})^{(1)} = [g^{(e-1)}, g^{(e-1)}], \quad e \geq 2.$$

Definition 4.20

Let g be a Lie algebra. An ideal h of g is characteristic if $\delta(h) \subset h$ for every derivation $\delta \in \text{Der}(g)$.

Lemma 4.21

If $i \subset g$ is an ideal and $h \subset i$ is a characteristic ideal in i then h is an ideal in g .

Proof

By the Jacobi identity if $x \in g$ then the endomorphism $\delta_x: g \rightarrow g$ defined on $\delta_x(y) := [x, y]$ is a derivation of g .

Since $i \subset g$ is an ideal, $\delta_x(i) \subset i$ and hence $\delta_x \in \text{Der}(i)$.

Since h is characteristic in i

$\delta_x(y) = [x, y] \in h \quad \forall y \in h$, thus.

h is an ideal in g . \square

Corollary 4.22

For every $i \geq 0$ $g^{(i)}$ is a characteristic ideal in $g^{(i)}$ and $g^{(i+1)}$ is a characteristic ideal in g .

As in the case of groups we now have:

Corollary 4.23

1) If $\pi: g \rightarrow h$ is a Lie algebra homomorphism we have

$$\pi(g^{(i)}) = \pi(g)^{(i)} \quad \forall i \geq 1.$$

2) Let $h \triangleleft g$. Then g/h is abelian iff $h \supseteq g^{(2)}$.

Proof

1) follows from $\pi([x, y]) = [\pi(x), \pi(y)]$.

2) Let $\pi: g \rightarrow g/h$ be the canonical projection homomorphism. Then by 1)

$$[g/h, g/h] = [\pi(g), \pi(g)] = \pi(g^{(2)}).$$

Hence g/h is abelian iff $h \supset g^{(2)}$. \square

Lemma 4.24

g is solvable iff $g^{(n)} = 0$ for some $n \geq 1$.

Proof

(\Leftarrow) Let us consider

$$g = g^{(1)} \triangleright g^{(2)} \triangleright \dots \triangleright g^{(n)} = \{0\}.$$

Since $(g^{(i-1)})^{(1)} = g^{(i)}$ by Lemma 4.23 2) we have that $g^{(i-1)}/g^{(i)}$ is abelian. Hence g is solvable.

(\Rightarrow) Let $g = g_0 \triangleright \dots \triangleright g_n = \{0\}$ be such that g_{i-1}/g_i is abelian for $1 \leq i \leq n$.

Since g/g_1 is abelian we have:

$$g_1 \supset g^{(2)}/g_1 \text{ by Lemma 4.23 2).}$$

Arguing inductively from $g_{i-1} \supset g^{(i-1)}/g_i$ we get.

$$g: 0 \rightarrow (g_{i-1})^{(1)} \rightarrow (g^{(i-1)})^{(1)} = g^{(i)},$$

since g_{i-1}/g_i is abelian. \square

Definition 4.25

If g is a solvable Lie algebra then we define: $\text{sol}(g) := \min \{ r \geq 1 : g^{(r)} = 0 \}$.

Example 4.26

Consider $g = \left\{ \begin{pmatrix} x & & \\ & x & \\ 0 & & x \end{pmatrix} : x \in \mathbb{R} \right\},$

$g \subset \mathfrak{gl}(n, \mathbb{R})$.

Then $g^{(1)} = \left\{ \begin{pmatrix} 0 & & \\ & x & \\ & & 0 \end{pmatrix} : x \in \mathbb{R} \right\},$

$g^{(2)} = \left\{ \begin{pmatrix} 0 & & \\ & 0 & \\ & & x \end{pmatrix} : x \in \mathbb{R} \right\},$

$g^{(3)} = \{0\}.$

Hence $\text{sol}(g) = h$.

Lemma 4.27

✓ Guter.com is very useful for inductions!

1) If $h < g$ and g is solvable then h is solvable.

2) If $h < g$, then g is solvable iff h and g/h are solvable.

Proof

1) We have $h^{(i)} < g^{(i)} \quad \forall i \geq 1$.

The statement follows from Lemma 4.24.

2) Let $\pi: g \rightarrow g/h$ be the canonical projection. Then

$$\pi(g^{(i)}) = (g/h)^{(i)}, \quad h^{(i)} < g^{(i)}.$$

Thus, if g is solvable then g/h and h are solvable.

Conversely, let $m \geq 1$ be such that

$$\{e\} = (g/h)^{(m)} = \pi(g^{(m)}). \quad \text{Then}$$

$g^{(m)} \subset h$ and if $h^{(n)} = \{0\}$ we get
 $g^{(m+n)} = \{0\}$. \square

The proof of Lemma 4.27 actually gives:

Corollary 4.28

If $h \triangleleft g$ and h and g/h are solvable then

$$\text{sol}(g) \leq \text{sol}(h) + \text{sol}(g/h).$$

Coming back to Lie groups, we have:

Theorem 4.29

Let G be a connected Lie group. Then the following are equivalent:

1) $\mathfrak{g} = \text{Lie}(G)$ is solvable;

2) G is a solvable group.

Before we move to the proof of Theorem 4.29

We need without proof a very helpful general statement about Lie group structures on quotient groups.

Theorem 4.32

Let G be a Lie group and $H \triangleleft G$ be a closed normal subgroup. Then the group G/H can be endowed with a unique smooth structure which makes it into a Lie group and such that the canonical projection $\pi: G \rightarrow G/H$ is a submersion.

In this situation, denoting by \mathfrak{g} and \mathfrak{h} the Lie algebras of G and H respectively it holds:

$$\text{Lie}(G/H) \cong \mathfrak{g}/\mathfrak{h}.$$

Proof of Theorem 4.29

2) \Rightarrow 1). Let

$$G = G_0 \cup G_1 \cup \dots \cup G_r = \bigcup_{i=1}^r G_i$$

be given by Proposition 4.7 such that G_i is closed and connected and

G_{i-1}/G_i is abelian for all $1 \leq i \leq r$.

Let $\mathfrak{g}_i := \text{Lie}(G_i) \triangleleft \mathfrak{g}$. By Corollary 3.104 (1), $\mathfrak{g}_i \triangleleft \mathfrak{g}_{i-1}$. By Theorem 4.30, $\mathfrak{g}_{i-1}/\mathfrak{g}_i = \text{Lie}(G_{i-1}/G_i)$ and since G_{i-1}/G_i

is abelian, $\mathfrak{g}_{i-1}/\mathfrak{g}_i$ is also abelian by Proposition 3.66 (1).

(1) \Rightarrow (2). The proof of this implication is by induction on $\text{sol}(\mathfrak{g})$.

If $\text{sol}(\mathfrak{g}) = 1$ then \mathfrak{g} is abelian and hence also G is, since it is connected.

Assume that $r := \text{sol}(\mathfrak{g}) \geq 2$. Then $\mathfrak{g} \neq \mathfrak{g}^{(r-1)} \triangleleft \mathfrak{g}$ and $\mathfrak{g}^{(r-1)}$ is abelian.

By Proposition 3.66 (2), $\exp_G: \mathfrak{g}^{(r-1)} \rightarrow G$ is a homomorphism. By Corollary 4.22, $\mathfrak{g}^{(r-1)} \triangleleft \mathfrak{g}$ is an ideal. Hence by Corollary 3.104 (2), $\exp_G(\mathfrak{g}^{(r-1)})$ is normal in G .

Thus $N := \overline{\exp_G(\mathfrak{g}^{(n-1)})}$ is normal, abelian and connected.

Let $n := \dim(N)$. By [Corollary 3.104](#), open, $n \leq \dim \mathfrak{g}$ is an ideal. Moreover it clearly holds $n \geq \dim \mathfrak{g}^{(n-1)}$.

Thus $\dim(\mathfrak{g}/n) \leq n-1$. Since $\dim(G/N) = \dim \mathfrak{g}/n$ by [Theorem 4.30](#) the proof can be completed by induction with an argument similar to the proof of [Proposition 4.7](#). \square

Given [Theorem 4.29](#), it makes sense to give the following:

Definition 4.31

A connected solvable Lie group is a connected Lie group G such that $\mathfrak{g} := \mathfrak{Lie}(G)$ is solvable.

With a similar strategy as in the proof of Theorem 4.12 (Weierstrass theorem) we can

prove:

Theorem 4.32.

Let $\rho: \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ be a representation of a solvable Lie algebra in a complex vector space V . Then there is a basis of V with respect to which $\rho(x)$, $x \in \mathfrak{g}$ are upper triangular.